Attorney's Docket No.: 17075-004008/0103H



pplicant: Mark E. Deem, et al.

Art Unit : 3743

erial No.: 10/630,473

Examiner: Aaron J. Lewis

Filed

: July 29, 2003

Conf. No. :

9190

Title

: METHODS AND DEVICES FOR PERFORMING PULMONARY DEVICES

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Dear Sir:

Transmitted herewith are a Supplemental Information Disclosure Statement, Form PTO-1449 (1 page), cited non U.S. patent references, a return postcard, and a check for the requisite fee of \$180.00 for filing in connection with the above-captioned patent application:

The Commissioner is hereby authorized to charge any fees that may be due in connection with this paper or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfully submitted,

Fred C. Hernandez Reg. No. 41,832

Attorney Docket No. 17075-004008/0103H

Address all correspondence to:

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit

Kelly M. Fischer

Typed or Printed Name of Person Signing Certificate



NITED STATES PATENT AND TRADEMARK OFFICE

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN **ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98**

Because this Supplemental Information Disclosure Statement is filed after the receipt of a First Office Action on the Merits for the above-captioned application, a check for the filing fee of \$180.00 is enclosed. If no proper payment is enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (1 page) and copies of the cited non U.S. Patent documents are provided herewith.

The documents cited on the Forms PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

02/15/2005 AWDNDAF1 00000120 10630473

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Serial No.: 10/630,473 Filed: July 29, 2003

Page : 2 of 2

Further to the list provided in the communication filed on September 14, 2004, Applicant also makes known to the Examiner the following pending U.S. Applications that have one or more common inventors and/or are commonly owned:

<u>U.S.S.N.</u>	Filing Date	Publication No.	Docket No.
11/004,560	12/03/04	Awaiting	17075-022001/0126
Awaiting	1/26/05	Awaiting	17075-023001/0127

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and they be made of record in the file history of the above-captioned application.

Respectfully submitted,

Fred C. Hernandez Reg. No. 41,832

Attorney Docket No. 17075-004008/0103H

Address all correspondence to:

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12390 El Camino Real
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Substitute Form PTO-1449 (Modified)

.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 17075-004008 / 0103H Application No. 10/630,473

List of Patents and Publications for Applicant's Information Disclosure Statement

Applicant Mark E. Deem, et al.

Filing Date
July 29, 2003

Group Art Unit 3743

(37 CFR §1.98(b))

U.S. Patent Documents

Examiner	Desig.	Document	U.S. Pate	T			Filing Date
Initial	ID ID	Number	Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	4,774,942	10/04/88	Moellers	128	205.24	08/28/87
	AB	4,879,998	11/14/89	Moellers	128	205.24	05/06/88
	AC	4,990,151	02/05/91	Wallstén	606	108	09/18/89
	AD	5,649,906	07/22/97	Gory et al.i	606	108	01/19/95
	AE	5,910,144	06/08/99	Hayashi	606	108	01/09/98
	AF	6,027,508	02/22/00	Ren et al.	606	108	10/03/96
	AG	6,247,471	06/19/01	Bower et al.	128	205.21	07/08/99
	AH	6,280,464	08/28/01	Hayashi	623	1.11	06/07/99
	AI	6,840,243	08/11/05	Deem et al.	128	207.16	04/18/03

	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AJ	03/099164	12/04/03	PCT				
	AK	2004/010845	02/05/04	PCT				
						<u></u>		
			-			-:		

	Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner Initial	Desig. ID	Document				
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Exan	niner	Signatu	ıre

Date Considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.